



Customer No. 22,852  
Attorney Docket No. 07787.0042

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
James J. MOND et al. ) Group Art Unit: 1653  
Application No.: 09/874,991 ) Examiner: Unassigned  
Filed: June 7, 2001 )  
For: IMMUNOSTIMULATORY )  
RNA/DNA HYBRID MOLECULES )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine

that the cited documents do not constitute "prior art" under United States law,  
Applicants reserve the right to present to the office the relevant facts and law regarding  
the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the  
patentability of the disclosed invention over the listed documents, should one or more of  
the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please  
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 7, 2001

By: 

Robert A. Pollock  
Reg. No. 43,008



OMB No. 0751-001

# INFORMATION DISCLOSURE CITATION

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Applicant	James J. MOND et al.		
Filing Date	June 7, 2001	Group:	Unassigned

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,194,388 B1	02/27/01	Krieg et al.			
	6,008,200	12/28/99	Krieg			
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	5,478,556	12/26/95	Elliott et al.			

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Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or N
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WO 99/51259 ✓	10/14/99	PCT			
WO 98/40100 ✓	09/17/98	PCT			
WO 98/37919 ✓	09/03/98	PCT			
WO 98/18810 ✓	05/07/98	PCT			
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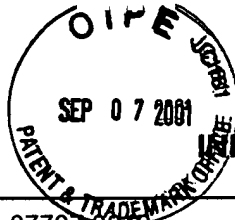
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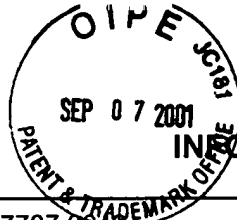
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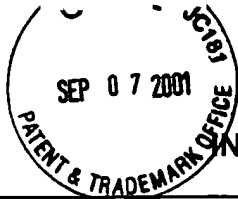
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## INFORMATION DISCLOSURE CITATION

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Examiner	Date Considered
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